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PTO/SB21 (6-98)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

3714  
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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

38

Application Number	09/939,014
Filing Date	August 24, 2001
First Named Inventor	Janet M. Wasowicz
Group Art Unit	3714
Examiner Name	Chanda L. Harris
Attorney Docket Number	2100632-991122

<input checked="" type="checkbox"/> Fee Transmittal Form (1 pg.)	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment (Response C) (32 pgs.)	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request (1 pg.)	<input type="checkbox"/> Associate Power of Attorney,	<input checked="" type="checkbox"/> Additional Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<small>Attachments to IDS: Four (4) U.S. Patents; Twenty (20) Articles; Check # 533491 for \$180.00; Certificate of First Class Mail (1 pg); Return Postcard</small>
<input checked="" type="checkbox"/> Information Disclosure Statement w/Information Disclosure Citation (3 pgs.)	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
	Remarks	

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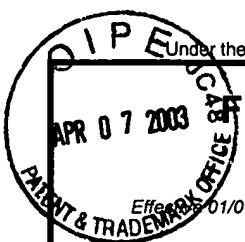
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	Timothy W. Lohse (Reg. No. 35,255) Gray Cary Ware Freidenrich LLP
Signature	
Date	April 2, 2003

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box No-Fee Amendment, Assistant Commissioner for Patents, Washington, D.C. 20231

Typed or printed name	Cathy Pittman		Date	April 2, 2003
Signature				


**APR 07 2003  
FEE TRANSMITTAL  
for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$)**180.00****Complete if Known**

Application Number	09/939,014
Filing Date	August 24, 2001
First Named Inventor	Janet M. Wasowicz et al.
Examiner Name	Chanda L. Harris
Art Unit	3714
Attorney Docket No.	21005752496122

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CENTER R3700**METHOD OF PAYMENT (check all that apply)**
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Deposit Account Number **07-1896**

Deposit Account Name **Gray Cary Ware & Freidenrich LLP**

The Commissioner is authorized to: (check all that apply)

Charge (fees) indicated below.    Credit any overpayments

Charge any additional fee(s) during the pendency of this application

Charge fee(s) indicated below, except for filing fee to the above-identified deposit account.

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee (\$)	Fee (\$)
1001	2001	750	Utility filing fee
1002	2002	330	Design filing fee
1003	2003	520	Plant filing fee
1004	2004	750	Reissue filing fee
1005	2005	160	Provisional filing fee

**SUBTOTAL (1) (\$)** **0.00****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20** =	0 X 9	= 0
Independent Claims	-3** =	0 X 42	= 0

Multiple Dependent X \_\_\_\_\_ = \_\_\_\_\_

Large Entity	Small Entity	Wrt	Fee Description
Fee Code	Fee Code	Fee (\$)	Fee (\$)
1202	18	2202	9
1201	84	2201	42
1203	280	2203	140
1204	84	2204	42
1205	18	2205	9

**SUBTOTAL (2) (\$)** **0.00**

\*\*or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES	Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130	Non-English specification
1812	2,520	1812	2,520	For filing a request for ex parte reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	410	2252	205	Extension for reply within second month
1253	930	2253	.465	Extension for reply within third month
1254	1,450	2254	.725	Extension for reply within fourth month
1255	1,970	2255	.985	Extension for reply within fifth month
1401	320	2401	160	Notice of Appeal
1402	320	2402	160	Filing a brief in support of an appeal
1403	280	2403	140	Request for oral hearing
1451	1,510	1451	1,510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive – unavoidable
1453	1,300	2453	.650	Petition to revive – unintentional
1501	1,300	2501	.650	Utility issue fee (or reissue)
1502	470	2502	.235	Design issue fee
1503	630	2503	.315	Plant issue fee
1460	130	1460	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(d)
1806	180	1806	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	750	2809	.375	Filing a submission after final rejection (37 CFR § 1.129(a))
1810	750	2810	.375	For each additional invention to be examined (37 CFR § 1.129(b))
1801	750	2801	.375	Request for Continued Examination (RCE)
1802	900	1802	.900	Request for expedited examination of a design application

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)** **180.00****SUBMITTED BY****Complete if applicable**

Name (Print/Type)	Timothy W. Lohse	Registration No. (Attorney/Agent)	35,255	Telephone	650-320-7426
Signature				Date	April 2, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.



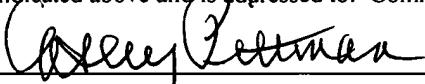
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 \_\_\_\_\_  
 Cathy Pittman

\* \* \*

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COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the following documents pertaining to:

Application S/N:	09/939,014
Filing Date:	August 24, 2001
INVENTOR(s):	Janet M. Wasowicz
Attorney Docket No.:	2100632-991122

For: DIAGNOSTIC SYSTEM AND METHOD FOR PHONOLOGICAL AWARENESS, PHONOLOGICAL PROCESSING, AND READING SKILL TESTING

Enclosed are:

- 1) Transmittal Form (1 pg.);
- 2) Response C to Office Action (32 pgs.);
- 3) Information Disclosure Statement w/Form PTO-1449 (3 pgs.);
- 4) IDS References: Four (4) U.S. Patents; twenty (20) Articles;
- 5) Fee Transmittal FY 2003 (1 pg.);
- 6) Check # 533427 for \$180.00;
- 7) Certificate of First Class Mailing (1 pg.); and
- 8) Return Postcard